



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 029471/0152

Applicant: Tomihiko AZUMA  
Title: VOICE SIGNATURE TRANSACTION SYSTEM AND METHOD  
Serial No.: 09/884,940  
Filed: June 21, 2001  
Examiner: Rhode, Jr., Robert E.  
Art Unit: 3625

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.56 and 37 CFR §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO-SB08 is a list of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any documents which is determined to be a prima facie prior art reference against the claims of the present application.

03/14/2006 HALI11 00000035 09884940

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**TIMING OF THE DISCLOSURE**

The instant Information Disclosure Statement (IDS) is believed to be filed in accordance with 37 C.F.R. 1.97(c), prior to the mailing date of a final Office Action on the merits or a Notice of Allowance. Accordingly, a certification or fee is required, and a fee is included with this IDS.

**RELEVANCE OF EACH DOCUMENT**

Document B1 relates to a tele-banking system that records conversation between an operator and a user along with synthesized speech information for carrying out transactions for the user.

Document B2 relates to a transaction data transmitter for an automatic account settlement system used over a telephone network, which combines retrieved transaction data with audio signals, which are then output to a calling party via the telephone network.

Document B3 describes the use of keywords by automatic vocalization from the system side and recognizing vocalizations repeated by the user. See page 22 of that document, for example.

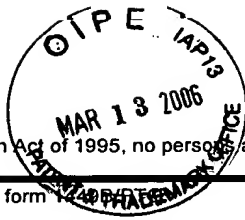
Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Respectfully submitted,

March 13, 2006  
Date

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<b>Substitute for form 1240 PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Date Submitted: March 13, 2006 (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/884,940
Sheet 1 of 1				Filing Date	06/21/2001
				First Named Inventor	Tomihiko AZUMA
				Group Art Unit	3625
				Examiner Name	Rhode, Jr., Robert E.
				Attorney Docket Number	029471-0152

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	B1	JP	11-3383			01/06/1999		ABS
	B2	JP	11-353399			12/24/1999		ABS

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>	
	B3	Article – Computer Telephony, March, 2000, Vol. 3, No. 4, pages 19-33		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.